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January 31, 2005

Mail Stop – Patent Applications  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Youri Martynov, Johannes Willem Herman Sillevs Smitt, Gibbo Johannes Abrahamse,  
Wilhelmus Adrianus Gerardus Timmers  
Assignee: Lumileds Lighting U.S., LLC  
Title: Illumination System and Display Device  
Serial No.: 10/810,169  
Examiner: Not yet assigned  
Docket No.: LUM-PHNL030367  
Filed: March 25, 2004  
Group Art Unit: 2871

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter;
- (3) Information Disclosure Statement (2 pages);
- (4) Forms PTO/SB/08A and PTO/SB/08B (2 pages);
- (5) One (1) Cited Reference;
- (6) Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

<input type="checkbox"/>	Please charge our Deposit Account No. 502226 in the amount of	\$	0.00
<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.		
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502226.		
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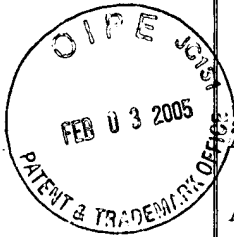
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R. Leiterman 1/31/05  
Attorney for Applicant(s) Date of Signature

Respectfully submitted,

R. Leiterman

Rachel V. Leiterman  
Attorney for Applicant(s)  
Reg. No. 46,868



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Youri Martynov, Johannes Willem Herman Sillevs Smitt, Gibbo  
Johannes Abrahamse, Wilhelmus Adrianus Gerardus Timmers  
Assignee: Lumileds Lighting, U.S., LLC  
Title: Illumination System and Display Device  
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San Jose, California  
January 31, 2005

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(c) - NO FEE  
WITH CERTIFICATION UNDER 37 CFR § 1.97(e)(1)**

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants wish to call the documents listed on the enclosed forms PTO/SB/08A and PTO/SB/08B to the Examiner's attention for the above-identified patent application. Copies of the foreign documents are enclosed. Copies of the cited US Patents documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived. The documents were first cited by in a corresponding foreign Application No. 04101154.4-2005 on December 16, 2004.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies in accordance with § 1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 31, 2005.

R. Leiterman 1/31/05  
Agent for Applicants Date of Signature

Respectfully submitted,

R. Leiterman

Rachel V. Leiterman  
Attorney for Applicants  
Reg. No. 46,868

Substitute for form 1449/PTO

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

Application Number	10/810,169
Filing Date	March 25, 2004
First Named Inventor	Youri Martynov
Art Unit	2871
Examiner Name	Not yet assigned
Attorney Docket Number	LUM-PHNL030367

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

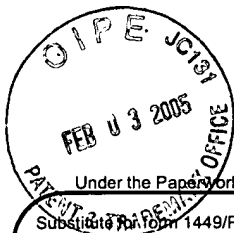
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



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Substitute Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

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Examiner Name	Not yet assigned
Attorney Docket Number	LUM-PHNL030367

Sheet 1 of 1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		European Search Report - 3 pages	

Examiner  
SignatureDate  
Considered

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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